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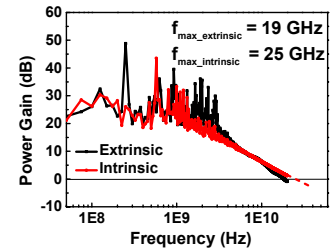
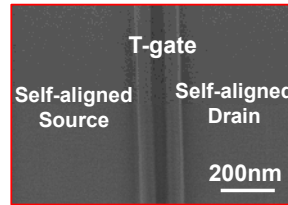
Radio Frequency Transistors Based on Ultra-High Purity Semiconducting Carbon Nanotubes with Superior Extrinsic Maximum Oscillation Frequency

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Radio frequency transistors based on ultra-high purity semiconducting carbon nanotubes show record extrinsic maximum oscillation frequency among all kinds of carbon nanotube transistors.

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ABSTRACT

In this paper, we report polyfluorene-separated ultra-high purity semiconducting carbon nanotube radio frequency transistors with self-aligned T-shape gate structure. Due to the ultra-high semiconducting tube purity and the self-aligned T-shape gate structure, these transistors showed excellent direct current and radio frequency performance. Regarding the direct current characteristics, the transistors showed transconductance up to 40 $\mu\text{S}/\mu\text{m}$ and excellent current saturation behavior with output resistance larger than 200 $\text{k}\Omega\cdot\mu\text{m}$. In terms of radio frequency characteristics, an extrinsic maximum oscillation frequency (f_{max}) of 19 GHz is achieved, which is a record among all kinds of carbon nanotube transistors, and an extrinsic current gain cut-off frequency (f_T) of 22 GHz is achieved, which is the highest among transistors based on carbon nanotube networks. Our results push the RF performance of carbon nanotube transistors to a new level and could accelerate the applications of carbon nanotubes for future radio frequency electronics.

1 Introduction

Carbon nanotubes, with characteristics of small size, high carrier mobility, large current density and small intrinsic capacitance [1-3], are of great potential for next-generation electronics, including digital electronics, macro-electronics and analog electronics. For digital electronics, several groups have demonstrated basic logic blocks and complex circuits built from carbon nanotubes, such as inverters, NAND gates, NOR gates, decoders, ring oscillators and computers [4-8]. Besides, carbon nanotubes are also widely studied for macro-electronics, including flexible electronics and printed electronics [9-16]. Fabricated/printed thin-film carbon nanotube transistors on rigid/flexible substrates have been demonstrated to drive active-matrix organic light emitting diode (AMOLED) [10, 11] and to control various kinds of sensors [14, 15], *e.g.* light sensors and tactile sensors. Moreover, carbon nanotubes are also considered as one of the most promising materials for radio frequency (RF) analog electronics due to their excellent intrinsic properties [3, 17-27]. In order to achieve good RF performance, carbon nanotubes from different assembling methods with various transistor structures have been explored comprehensively.

In terms of carbon nanotube assembling methods, aligned carbon nanotubes from chemical vapor deposition (CVD) process [28-30] can be used without removing metallic nanotubes to make RF transistors, although carbon nanotubes from CVD process are a mix of both semiconducting and metallic carbon nanotubes. Several research groups including our group have made RF transistors with CVD aligned carbon nanotubes [23, 25, 26]. However, as metallic carbon nanotubes have no gate modulation, RF performance of aligned carbon nanotube transistors can be harmed due to the existence of metallic carbon nanotubes. Besides aligned carbon nanotubes from CVD process, carbon nanotube networks, achieved by dispersing pre-separated carbon nanotube solutions [19-21],

material, the carrier transport can be quasi-ballistic transport instead of percolative transport due to the small dimension of channel length [21]. As a result, RF performance of carbon nanotube network transistors can be as good as that of aligned carbon nanotube transistors. Researchers including our group have reported good RF performance of carbon nanotube network transistors [19-21]. Other types of carbon nanotubes, such as single carbon nanotube [17, 22, 27] and nanotubes aligned by dielectrophoresis (DEP) method [18, 24], have also been made into RF transistors and characterized.

On the other hand, device structure is also of great importance for the RF performance of carbon nanotube transistors. Top/back-gate structure without self-alignment is a commonly used gate structure for carbon nanotube RF transistors [17-20, 24-26]. The major drawback of this structure is that there exist either un-gated channel regions or over-lapped gate-to-source/drain regions. The un-gated channel regions adversely affects the RF performance of transistors because the un-gated channel regions cannot be controlled by the gate and incur large access resistance. The total length of the un-gated channel regions is determined by the alignment during the manufacturing process. Besides the un-gated channel region, the overlapped gate-to-source/drain regions would incur large parasitic capacitance, which also negatively affects the RF performance of carbon nanotube transistors. A better gate structure is top-gate structure with self-alignment. Several groups including our group have reported RF transistors with such a gate structure [21-23, 31, 32]. Our group have introduced a self-aligned T-shape gate structure for RF transistors, and successfully made RF transistors with this gate structure with dispersed carbon nanotube networks [21], aligned carbon nanotubes [23], and graphene [32]. Compared with gate structures without self-alignment, our self-aligned T-shape gate structure not only reduces the parasitic capacitance, but also decreases the gate resistance and improves

Address correspondence to Chongwu Zhou, chongwuz@usc.edu can also be used to make RF transistors. Although carbon nanotube networks are used as the channel

the yield of devices.

In spite of intensive research in carbon nanotube

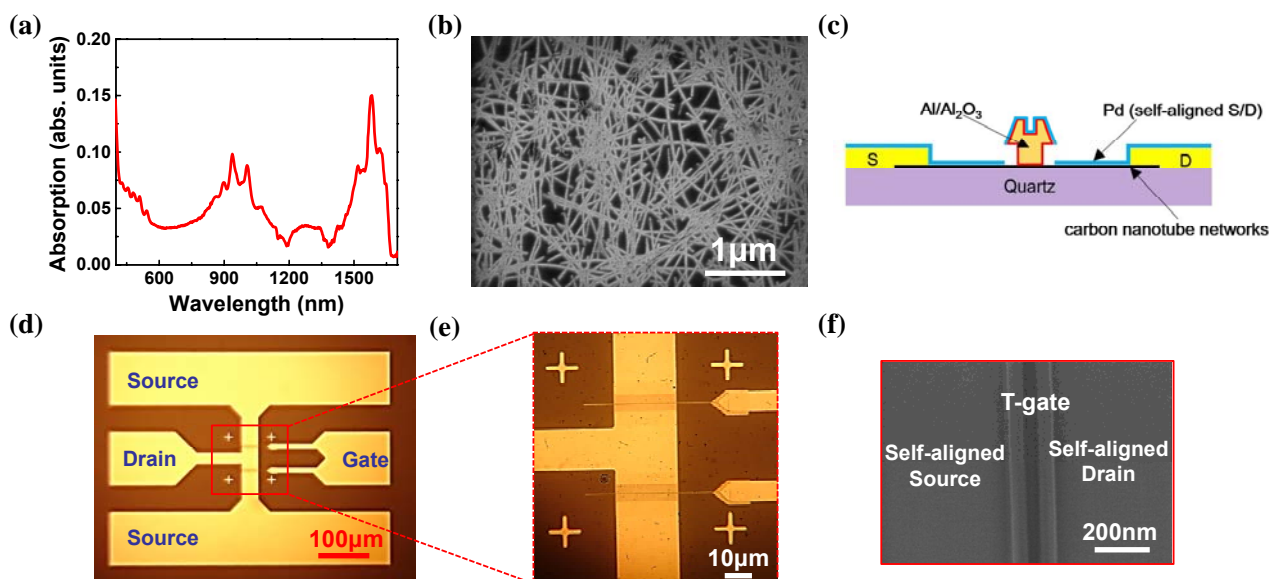
RF field, the RF performance of carbon nanotube transistors is still below the current state-of-art transistors, especially for the maximum oscillation frequency (f_{max}), which is an important parameter for practical applications. The best extrinsic f_{max} so far reported for carbon nanotube transistors is 15 GHz [24]. In order to achieve better RF performance, especially a high f_{max} for practical applications, it is critical to achieve both high transconductance and good current saturation for carbon nanotube transistors. The transconductance and current saturation behavior of carbon nanotube transistors are directly related to the semiconducting carbon nanotube purity. Metallic carbon nanotubes, which have no gate modulation and conduct current similar to a metal wire, degrade the transconductance and current saturation behavior. As a result, the higher the purity of the semiconducting carbon nanotubes, the higher the transconductance and the better the current saturation behavior can be achieved. Combining ultra-high purity semiconducting carbon nanotubes and our excellent self-aligned T-shape gate structure, improved RF performance, especially for f_{max} , can be expected.

In this paper, polyfluorene-separated ultra-high purity (> 99.99%) semiconducting carbon nanotubes are used for radio frequency study. We fabricated self-aligned T-shape gate transistors and carried out both direct current (DC) and RF characterizations. Ultra-high purity semiconducting carbon nanotube RF transistors showed transconductance up to 40 $\mu\text{S}/\mu\text{m}$ and excellent current saturation behavior with output resistance larger than 200 $\text{k}\Omega\cdot\mu\text{m}$. RF measurement showed extrinsic f_{max} of 19 GHz, which is a record among all kinds of carbon nanotube transistors. Besides, RF measurement also showed extrinsic current gain cut-off frequency (f_T) of 22 GHz, which is the highest among transistors based on carbon nanotube networks (the best extrinsic f_T previously reported for carbon nanotube network transistors is 15 GHz [19]) and is comparable to the best extrinsic f_T so far reported for aligned carbon nanotube transistors (25 GHz [23]). Moreover, we also measured the linearity behavior of ultra-high purity semiconducting carbon nanotube RF transistors. The transistors showed a 1 dB gain

compression point (P_{1dB}) between 8 to 14 dBm and input third-order intercept point (IIP_3) of 18.3 dBm (the associated output third-order intercept point (OIP_3) is 2.2 dBm). At last, we configured the ultra-high purity semiconducting carbon nanotube transistors as mixers working in GHz frequency range. Our study gives a guideline of material selection for carbon nanotube RF electronics. Of the most importance, our ultra-high purity semiconducting carbon nanotube transistors create a record extrinsic f_{max} , push the frontier of carbon nanotube RF field ahead and further confirm the great potential for carbon nanotubes to be used for future RF analog electronics.

2 Results and discussion

Polyfluorene-separated ultra-high purity (> 99.99%) semiconducting carbon nanotube solution purchased from NanoIntegris (IsoSol-S100, S23-189) was used to make RF transistors with self-aligned T-shape gate structure. Figure 1(a) shows the absorption spectrum of the carbon nanotube solution. There is no visible peak in the metallic regime (600 to 800 nm). The carbon nanotube solution was then dispersed onto a quartz substrate to form a uniform and high-density network. The dispersion method, as described in the Method section, is highly scalable and enables large-scale fabrication of RF transistors and circuits. Figure 1(b) presents a field emission scanned electron microscope (FESEM) image of a high-density and uniformly-dispersed carbon nanotube network. With the ultra-high purity semiconducting carbon nanotube network on quartz substrate, we fabricated radio frequency transistors with self-oxidized and self-aligned T-shape aluminum gate platform developed by our group [21, 23, 32]. Figure 1(c) shows the schematic diagram of the self-aligned T-gate device structure, and details for carbon nanotube RF transistor fabrication process can be found in the Method section. Ground-signal-ground (GSG) coplanar waveguide structure was used to probe the RF performance of the carbon nanotube transistors, as shown in the optical image of Fig. 1(d). Figure 1(e) shows the zoom-in optical image of the device channel region. The structure of the RF transistor was further



characterized by FESEM. Figure 1(f) illustrates the self-aligned T-shape gate structure. The channel length is estimated to be between 120 to 140 nm

and the un-gated region of each side is about 20 nm.

Figure 1 Characterization of ultra-high purity semiconducting carbon nanotubes and self-aligned T-shape gate RF transistors. (a) Absorption spectrum of ultra-high purity semiconducting carbon nanotube solution. (b) Scanning electron microscope image of the ultra-high purity semiconducting carbon nanotube network. (c) Schematic diagram of the self-aligned T-shape gate device structure. (d) Optical image of a typical RF transistor with channel length $2 * 30 \mu\text{m}$. (e) Zoom-in optical image of the channel region in (d). (f) Scanning microscope image of the T-shape gate structure.

We first carried out DC characterization of the ultra-high purity semiconducting carbon nanotube transistors. Figure 2(a) is the transfer characteristics ($I_{\text{DS}}-V_{\text{GS}}$ curve) of one channel of a typical device with channel width of $30 \mu\text{m}$ and channel length of 120 nm , with drain biased at -1.5 V and source grounded. One can find that the transfer curve shows a p-type transistor behavior with hysteresis, which is typical for carbon nanotube transistors. The transistor has a high on-state current density (I_{on}/W) of about $37 \mu\text{A}/\mu\text{m}$, measured at $V_{\text{ds}} = -1.5 \text{ V}$ and $V_{\text{gs}} = -1.5 \text{ V}$. The off-state current density (I_{off}/W), measured at $V_{\text{ds}} = -1.5 \text{ V}$ and $V_{\text{gs}} = 0.6 \text{ V}$, is about $0.7 \mu\text{A}/\mu\text{m}$. With both on-state and off-state current density, the on/off ratio is calculated to be 60. Besides, the transconductance of the same transistor is calculated by taking the derivative of the transfer curve and plotted in Fig. 2(b). A maximum transconductance of $40 \mu\text{S}/\mu\text{m}$ is achieved at $V_{\text{ds}} = -1.5 \text{ V}$ and $V_{\text{gs}} = -1.5 \text{ V}$. The peak transconductance are higher than that of 98% purity semiconducting carbon nanotube transistors

($\sim 20 \mu\text{S}/\mu\text{m}$) [21]. Output characteristics ($I_{\text{DS}}-V_{\text{DS}}$ curves) are another important aspect of the DC performance of a transistor. Figure 2(c) shows the output characteristics of the same device measured at different gate biases. The drain-to-source voltage was swept from 0 to -2 V , and the gate bias was swept from 0 to -1.5 V with a step of -0.3 V . The device shows better current saturation than the 98% purity semiconducting carbon nanotube transistors [21]. The output resistance of the ultra-high purity semiconducting carbon nanotube transistors is extracted to be larger than $200 \text{ k}\Omega\cdot\mu\text{m}$ in their current saturation regions, while the output resistance of the 98% purity semiconducting carbon nanotube transistors is only $\sim 60 \text{ k}\Omega\cdot\mu\text{m}$. In summary, ultra-high purity semiconducting carbon nanotube transistors have higher transconductance and better current saturation behavior than the transistors we previously reported with 98% purity semiconducting carbon nanotube transistors [21]. The higher transconductance and better current saturation

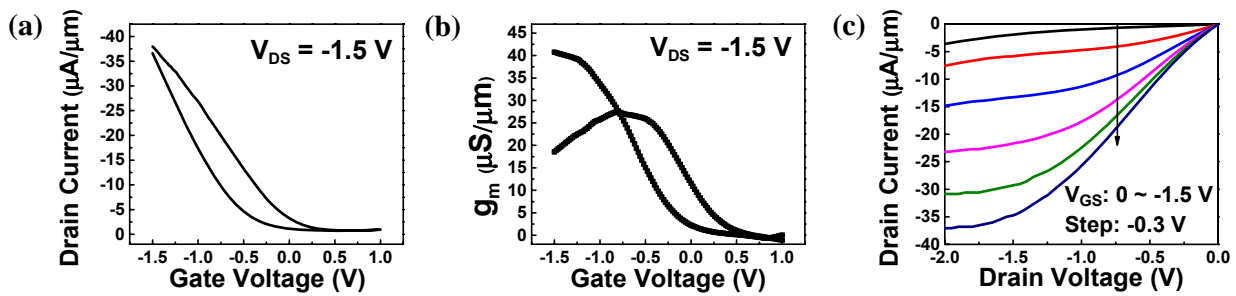


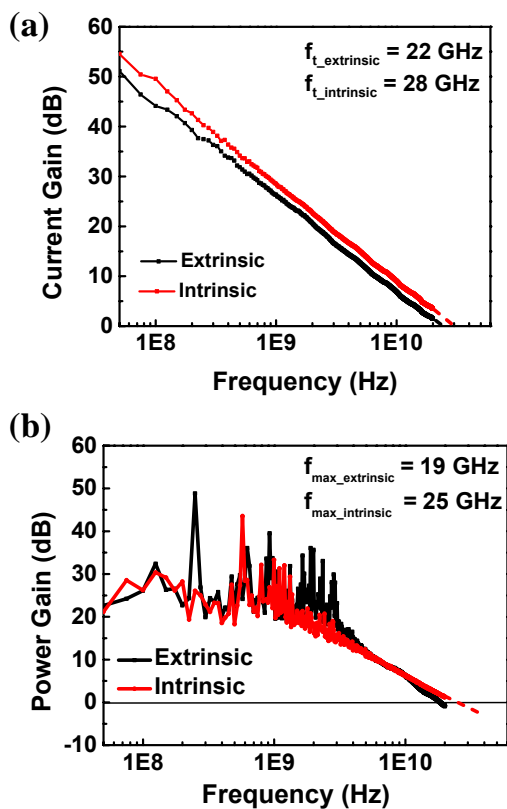
Figure 2 DC characterization of ultra-high purity semiconducting carbon nanotube transistors. (a) Transfer characteristics (I_{DS} - V_{GS} curves) of a nanotube device with channel width of 30 μm and channel length of 120 nm at the drain-to-source bias of -1.5 V. (b) Transconductance g_m versus gate voltage V_{GS} curve of the same transistor at drain-to-source bias of -1.5 V. (c) Output characteristics (I_{DS} - V_{DS} curves) of the same transistor at various gate biases from 0 to -1.5 V with a step of -0.3 V.

behavior owe to the ultra-high purity of the semiconducting carbon nanotubes. Metallic carbon nanotubes show linear output behavior without current saturation with the drain-to-source voltage that we use. As a result, the presence of metallic carbon nanotubes can significantly hurt the current saturation behavior. In addition, metallic carbon nanotubes have no gate dependence and semi-metallic carbon nanotubes have rather small gate modulation. Therefore, the presence of metallic or semi-metallic carbon nanotubes may also degrade the transconductance.

Two figures of merit are used to characterize the RF performance of a transistor. One is the current gain cut-off frequency and the other is maximum oscillation frequency. The current gain cut-off frequency is the frequency at which the ratio of the output current to the input current equals unity assuming the output of the transistor is shorted to ground. The maximum oscillation frequency is the frequency at which the ratio of output power to the input power equals unity assuming the output is terminated to the characteristic impedance of a system. The maximum oscillation frequency is of more importance than the current gain cut-off frequency since output terminals are usually matched to characteristic impedance in practical applications. To characterize the RF performance of ultra-high purity semiconducting carbon nanotube RF transistors, standard S-parameter measurement utilizing GSG probes and N5242A PNA-X vector network analyzer was carried out. The N5242A

PNA-X network analyzer and the entire measurement setup were first calibrated using standard short-open-load-through (SOLT) calibrations. Moreover, open and short device structures were also measured for de-embedding process which removes the effects of parallel and series parasitics associated with the measurement pads and connections. The open and short structures for the de-embedding process are shown in Fig. S1 of the Electronic Supplementary Material (ESM). Our de-embedding process would only remove the effect of the bonding pads and reveal the real performance of the devices without bonding pads, but with the fringe capacitances between the gate and the source/drain electrodes, which represents the device performance for real applications. We note that some other de-embedding processes used in literature [19, 23, 24] would remove the effect of fringe capacitances, which may reveal the upper-limit of the material property, but does not represent the device performance that one can achieve in real circuits. Figure 3(a) and 3(b) plot the extrinsic and intrinsic current gain frequency response and power gain frequency response for the carbon nanotube network devices, respectively. The f_T is 22 GHz before de-embedding and 28 GHz after de-embedding, and the f_{max} is 19 GHz before de-embedding and 25 GHz after de-embedding. Both f_T and f_{max} of the ultra-high purity semiconducting carbon nanotube transistors are better than those of 98% purity semiconducting

carbon nanotube transistors with the same device structure [21]. Besides, the extrinsic f_T is the highest for carbon nanotube network transistors (the best extrinsic f_T previously reported for carbon nanotube network transistors is 15 GHz [19]), and it is comparable to the best value so far reported for aligned carbon nanotube transistors (25 GHz [23]). Moreover, the extrinsic f_{max} of ultra-high purity semiconducting carbon nanotube transistors creates a record for all kinds of carbon nanotube RF transistors, and the intrinsic f_{max} is comparable to the best value so far reported. Previously, the best extrinsic and intrinsic f_{max} reported for carbon nanotube transistors were 15 GHz and 30 GHz respectively [24], and achieved from aligned carbon nanotube transistors. Again, the improved performance can be attributed to the ultra-high



purity of the semiconducting carbon

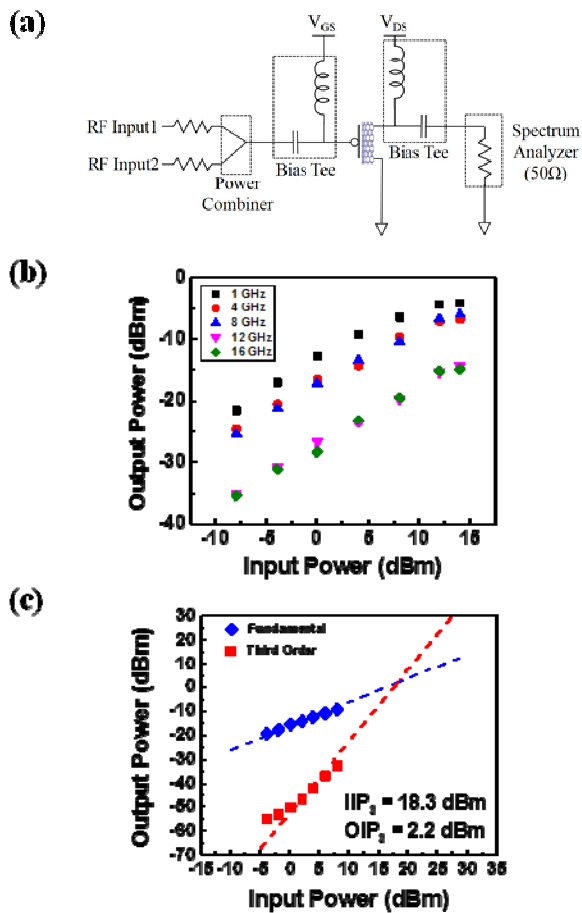
Figure 3 RF characterization of ultra-high purity semiconducting carbon nanotube transistors. (a) Extrinsic and intrinsic current gain frequency response. (b) Extrinsic and intrinsic power gain frequency response.

nanotubes, which leads to higher transconductance

and better current saturation behavior. In addition, we also conducted statistical study of the RF performance of the ultra-high purity semiconducting carbon nanotube transistors and the results are shown in the ESM (Table S1). From the statistical results, the ultra-high purity semiconducting carbon nanotube transistors show small device-to-device variation.

Another important figure of merit to evaluate a RF transistor is its linearity performance. Two parameters are often used to characterize the linearity of a transistor, circuit or system. One parameter is the 1 dB gain compression point, which defines the power level causing the gain to drop by 1 dB from its small signal value. The other one is third-order intercept point where the power of the third-order harmonic term equals the power of the fundamental term. Single-tone test and two-tone test are often used to get the 1 dB gain compression point and the third-order intercept point, respectively. Figure 4(a) shows the schematic of the measurement setup for the single-tone and two-tone tests. For the single tone test, only one of the input terminals is used as the input, while both input terminals are used in the two-tone test. In the single-tone test, we applied RF signal to the gate of the carbon nanotube transistor with frequencies of 1 GHz, 4 GHz, 8 GHz, 12 GHz and 16 GHz sequentially, and varied its power level. A spectrum analyzer was used to measure the output signal power level. Figure 4(b) plots the output power *vs.* input power curves at different frequencies for the single-tone test. Based on the results of the single-tone test, P_{1dB} can be extracted to be between 8 dBm to 14 dBm. Details of the extraction process can be found in the ESM (Fig. S2). Furthermore, we carried out the two-tone test for the ultra-high purity semiconducting carbon nanotube transistors. In the two-tone test, two RF signals with frequencies of 8 GHz and 8.3 GHz were applied to the two input terminals shown in Fig. 4(a), and a spectrum analyzer was used to monitor the output signal. Figure 4(c) shows the results for the two-tone test in the frequency range of 8 GHz. From

Figure 4 Linearity performance of ultra-high purity



semiconducting carbon nanotube transistors. (a) Schematic of the measurement setup for single-tone and two-tone tests. (b) Output power vs. input power curves at different frequencies for the single-tone test. (c) Output power vs. input power of the fundamental term and the third-order term in the frequency range of 8 GHz.

Fig. 4(c), we can find that the fundamental term increases with the input signal power with a speed of 10 dB/dec, while the third-order term increases with the input signal power with a speed of 30 dB/dec. The input third-order intercept point IIP_3 is extracted to be 18.3 dBm with output third-order intercept point OIP_3 of 2.2 dBm. In theory, IIP_3 is about 9.6 dB higher than P_{1dB} . The P_{1dB} for the transistor at 8 GHz is 8 dBm (Fig. S2 in the ESM). Results for the two-tone test and the single-tone test match well with each other. According to the results above, the linearity behavior of the ultra-high purity semiconducting carbon nanotube transistors is comparable to 180 nm CMOS transistors [33, 34].

The ultra-high purity semiconducting carbon

nanotube transistors can be used as mixers due to their good RF performance and good linearity behavior [23]. The transistors can be configured as a mixer with the setup shown in Fig. 4(a). In order to test the performance of the mixer, local oscillation (LO) signal and radio frequency signal are mixed at the two input terminals. Figure 5(a) shows the mixer performance of a carbon nanotube transistor biased at $V_{gs} = -1.5$ V and $V_{ds} = -1.5$ V. The LO signal was chosen with a frequency of 4.2 GHz and power of -3 dBm, while the RF signal was chosen with a frequency of 4 GHz and power of -12 dBm. From the output spectrum of the mixer shown in Fig. 5(a), one

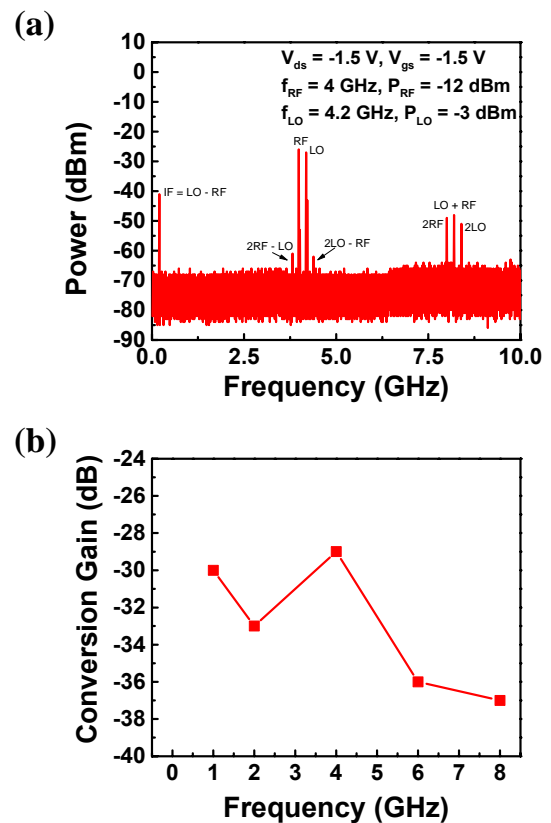


Figure 5 Mixer application of the ultra-high purity semiconducting carbon nanotube transistors. (a) Output spectrum of an ultra-high semiconducting carbon nanotube transistor configured as a mixer. (b) Conversion gain vs. frequency response of the same mixer.

can find all the first-order (f_{RF} , f_{LO}), second-order ($2f_{RF}$, $2f_{LO}$, $f_{RF}+f_{LO}$, $f_{RF}-f_{LO}$) and third-order frequency ($2f_{RF}-f_{LO}$, $2f_{LO}-f_{RF}$) components of the mixer. The intermediate frequency ($f_{IF} = f_{LO} - f_{RF}$) for this mixer

is 200 MHz with the power of -41 dBm. The conversion gain of this mixer is calculated to be -29 dB. We also tested the mixer at 1 GHz, 2 GHz, 6 GHz and 8 GHz, and the results can be found in the ESM (Fig. S3). Figure 5(b) plots the conversion gain *vs.* frequency curve of the same mixer. The conversion gain decreases with the increase of the input RF signal frequency. Based on the above mixer test, the ultra-high purity semiconducting carbon nanotubes show good potential for mixer applications.

3 Conclusions

In summary, we have made self-oxidized and self-aligned T-shape aluminum gate transistors with ultra-high purity semiconducting carbon nanotubes as the active channel material. The carbon nanotube transistors showed high transconductance and excellent current saturation behavior owing to its ultra-high purity. We carried out both DC and RF characterization for the carbon nanotube transistors. We achieved extrinsic current gain cut-off frequency of 22 GHz and intrinsic current gain cut-off frequency of 28 GHz. The extrinsic current gain cut-off frequency is the highest among all transistors based on carbon nanotube networks. Besides, we achieved extrinsic maximum oscillation frequency of 19 GHz and intrinsic maximum oscillation frequency of 25 GHz. The extrinsic maximum oscillation frequency creates a record for all kinds of carbon nanotube transistors. Furthermore, we conducted single-tone and two-tone tests to characterize the linearity behavior of the ultra-high purity semiconducting carbon nanotube transistors. We achieved P_{1dB} between 8 dBm to 14 dBm and IIP_3 of 18.3 dBm. At last, we configured the ultra-high purity semiconducting carbon nanotube transistors as mixers which worked in the GHz frequency range. Our work sheds light upon the importance of ultra-high purity for the RF performance of carbon nanotube transistors, and successfully improves the RF performance of carbon nanotube transistors to a new level. Besides, our work confirms the great potential for carbon nanotubes to be used as building blocks for RF circuits and systems.

4 Method

4.1 Ultra-high purity semiconducting carbon nanotube dispersion

Quartz substrates were used as the substrates to reduce parasitic capacitance. In order to get a uniform and high-density network, the ultra-high purity semiconducting carbon nanotube solution was first diluted by 10 times with xylene. Quartz substrates were then immersed into the diluted solution for 1 hour and 15 minutes, followed by slightly rinsing with pure xylene solution. The quartz substrates were then put on a hotplate with temperature of 200 °C for 1 hour to remove the excessive solvents.

4.2 RF transistor fabrication process

First, titanium/palladium (1 nm Ti /50 nm Pd) were deposited by electron-beam as the source and drain electrodes. Second, carbon nanotubes outside channel regions were etched away by oxygen plasma. T-shape gate was patterned by electron-beam lithography, and sequentially 140 nm aluminum was deposited by thermal evaporation and oxidized in air at 120 °C. Finally, 10 nm palladium was deposited by electron-beam as the self-aligned source and drain contacts, shortening the channel length down to 120-140 nm.

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Electronic Supplementary Material:

Supplementary material (optical image of a typical self-aligned T-gate structure, open and short structure for de-embedding process, 1 dB gain compression point extraction process for the single tone test, and the mixer measurement results under various frequencies) is available in the online version of this article at

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Electronic Supplementary Material

Radio Frequency Transistors Based on Ultra-High Purity Semiconducting Carbon Nanotubes with Superior Extrinsic Maximum Oscillation Frequency

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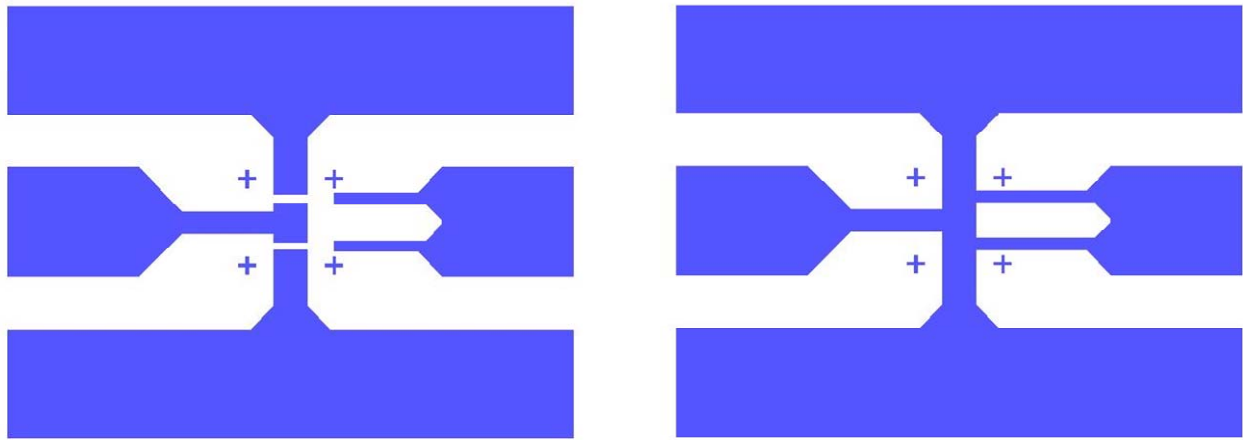
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1 Open and short structure for de-embedding process

Figure S1 shows the open and short structure for the de-embedding process. A detailed description of the de-embedding process can be found in the paper and its supporting information published by C. Wang *et al.*



[1].

Figure S1 (Left) Open structure for de-embedding process. (Right) Short structure for de-embedding process.

2 Statistical study of the radio frequency (RF) performance of the ultra-high purity semiconducting carbon nanotube transistors

The statistical results of the RF performance of eight ultra-high purity semiconducting carbon nanotube transistors are shown in Table S1. The extrinsic current gain cutoff frequency (f_T) has an average value of 14.5 GHz with standard variation of 6.4 GHz, and the extrinsic maximum oscillation frequency (f_{max}) has an average value of 13.1 GHz with standard variation of 2.5 GHz. The intrinsic f_T has an average value of 20.9 GHz with standard variation of 6.5 GHz, and the intrinsic f_{max} has an average value of 15.1 GHz with standard variation of 4.6 GHz. From the statistical results, we can see that the ultra-high purity semiconducting carbon nanotube transistors have small device-to-device variation.

Table S1 Statistical study of the RF performance of the ultra-high purity semiconducting carbon nanotube transistors

	f_T (GHz)	f_{max} (GHz)

